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IN RE APPLICATION OF:

Tetuya OOKURA, et al.

SERIAL NO:

10/040,416

GAU:

1652

FILED:

January 9, 2002

**EXAMINER:** 

FOR:

ERYTHROSE REDUCTASE, ITS Cdna AND CELL WHICH THE Cdna EXPRESS

## INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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ATTY DOCKET NO. SERIAL NO. DEPARTMENT OF COMMERCE Form PTO 1449 217969US0XCIP 10/040.416 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Tetuya OOKURA, et al. FILING DATE **GROUP** January 9, 2002 1652 **U.S. PATENT DOCUMENTS** EXAMINER DOCUMENT SUB **FILING DATE** DATE NAME CLASS IF APPROPRIATE INITIAL NUMBER CLASS AA AB AC APR 2 9 2002 ΑD ΑE ECH CENTER 1600/2900 AF FOREIGN PATENT DOCUMENTS TRANSLATION DOCUMENT DATE COUNTRY NUMBER YES NO 6-30591 04/27/94 JAPAN (with English Claims) AG AΗ 6-30592 04/27/94 JAPAN (with English Claims) ΑI 4-11189 02/27/92 JAPAN (with English Claim) JAPAN (with English Claim) A.J 4-635 01/08/92 JAPAN (with English Claims) AK 10-96 01/06/98 ΑL 9-154589 06/17/97 JAPAN (with English Claims) AM ΑN OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) K. TOKUOKA, et al., J. Gen. Appl. Microbiol., Vol. 38, pps. 145-155, "COMPARISON OF THREE FORMS OF ERYTHROSE REDUCTASE FROM AN AUREOBASIDIUM SP. MUTANT", 1992 AO H. ISHIZUKA, et al., Biosci. Biotech. Biochem., Vol. 56, No. 6, pps. 941-945, "PURIFICATION AND SOME PROPERTIES ΑP OF AN ERYTHROSE REDUCTASE FROM AN AUREOBASIDIUM SP. MUTANT", 1992 M. J. ZOLLER, et al., Nucleic Acids Research, Vol. 10, No. 20, pps. 6487-6501, "OLIGONUCLEOTIDE-DIRECTED MUTAGENESIS USING M13-DERIVED VECTORS: AN EFFICIENT AND GENERAL PROCEDURE FOR THE AQ PRIDUCTION OF POINT MUTATIONS IN ANY FRAGMENT OF DNA", 1982 Molecular Cloning, 2<sup>nd</sup> Edition., pps 14.2 to 14.35, "IN VITRO AMPLIFICATION OF DNA BY THE POLYMERASE CHAIN REACTION", 1989 AR T. CLACKSON, et al., PCR, A Practical Approach, pps. 200-209, "GENERAL APPLICATIONS OF PCR TO GENE AS CLONING AND MANIPULATION", 1991 "FUNDAMENTAL COURSE ON MICROBIOLOGY 8, GENETIC ENGINEERING", pps. 370-375 ΑT (with partial English translation) J. REISER, et al., Advances in Biochemical Engineering/Biotechnology, Vol. 43, pps. 76-102, "TRANSFER AND EXPRESSION OF HETEROLOGOUS GENES IN YEASTS OTHER THAN SACCHAROMYCES CEREVISIAE". 1990 ΑIJ M. A. ROMANOS, et al., Yeast, Vol. 8, pps. 423-488, "FOREIGN GENE EXPRESSION IN YEAST: A REVIEW", 1992 ΑV H. HIRANO, Journal of Protein Chemistry, Vol. 8, No. 1, pps. 115-130, "MICROSEQUENCE ANALYSIS OF WINGED BEAN SEED PROTEINS ELECTROBLOTTED FROM TWO-DIMENSIONAL GEL", 1989 ΔW Examiner Date Considered \*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.